



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box DAC, Assistant Commissioner for Patents, Washington, D.C. 20231.

12/11/02  
Date of deposit

Louella Alabardo  
Louella Alabardo

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Applicant: Uwe Trinks and Michael Sugerman

Serial No.: 09/855,434

Filed: May 15, 2001

For: SPONTANEOUS ADVERSE EVENTS REPORTING

Attorney Docket No.: 103057-157-NP

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box DAC  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice of File Missing Parts of Nonprovisional Application dated July 12, 2001, the Applicant submits the following items:

1. A copy of the Notice to File Missing Parts of Nonprovisional Application;
2. Executed Declaration with Power of Attorney;
3. Substitute sheets of drawings; and
  - a) within the appropriate margins;
  - b) all figures are labeled "FIG." with consecutive Arabic numerals with capital letters in the English alphabet.

Authorization is hereby granted to charge the surcharge \$65 and any additional required fees to Goodwin Procter LLP deposit account no. 06-0923.

Respectfully submitted,

Eva Tan

Eva Tan (Reg. No. 46,406)  
Goodwin Procter LLP  
7 Becker Farm Road  
Roseland, NJ 07068  
(973) 992-1990

**RECEIVED**

DEC 17 2002

**OFFICE OF PETITIONS**

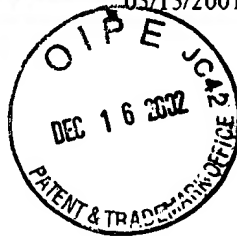
#5



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/855,434	05/15/2001	Uwe Trinks	103057-157-NP

Not Available  
Not Available  
Washington, DC 20231

CONFIRMATION NO. 9018

## FORMALITIES LETTER



\*OC00000006291519\*

Date Mailed: 07/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*

12/16/2002 SLUANG1 00000011 060923 09855434

02 FC:2051 65.00 CH



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Counsellors at Law  
Seven Becker Farm Road  
Roseland, NJ 07068  
T: 973.992.1990  
F: 973.992.4643

Via First Class Mail

Assistant Commissioner for Patents  
Box DAC  
Washington, DC 20231

**Re: Application No. 09/855,434**  
**Filing Date: May 15, 2001**  
**Applicants: Uwe Trinks; Michael Sugerman**  
**Title: Spontaneous Adverse Events Reporting**  
**Attorney Docket No.: 103057-157-NP**

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing in the above-referenced application are the following:

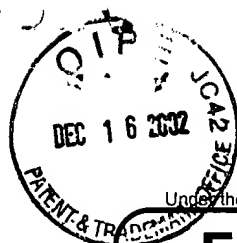
1. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b);
2. Reply to Notice to File Missing Parts of Application;  
~~Substitute sheets of drawing~~
  - Declaration and Power of Attorney
  - Copy of Notice to File Missing Parts of Application
3. Fee Transmittal Sheet; and
4. Return Receipt Postcard.

**RECEIVED**  
DEC 17 2002  
OFFICE OF PETITIONS

Dated: Dec. 11, 2002

Respectfully submitted,

Eva Tan  
Reg. No. 46,406  
Patent Agent



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 705.00

## Complete if Known

Application Number	09/855,434
Filing Date	May 15, 2001
First Named Inventor	Uwe Trinks et al.
Examiner Name	
Art Unit	
Attorney Docket No.	103057-157-NP

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 06-0923

Deposit Account Name: Goodwin Procter LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	640
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$ ) 705

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$ )	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid

Total Claims:  -20\*\* =  X  =

Independent Claims:  -3\*\* =  X  =

Multiple Dependent:  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$ )	

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Eva Tan	Registration No. (Attorney/Agent)	46,406
Signature		Telephone	(973)992-1990
		Date	Dec. 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.